

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

- (i) * Corporate Identification Number (CIN) of the company
- Global Location Number (GLN) of the company
- * Permanent Account Number (PAN) of the company
- (ii) (a) Name of the company
- (b) Registered office address
- 001 & 002 Fulcrum, A Wing, Ground Floor
Sahar Road, Next to Hyatt Regency, Andheri (East)
Mumbai
Mumbai City
Maharashtra
400000
- (c) *e-mail ID of the company
- (d) *Telephone number with STD code
- (e) Website
- (iii) Date of Incorporation
- (iv)
- | Type of the Company | Category of the Company | Sub-category of the Company |
|---------------------|---------------------------|-------------------------------|
| Public Company | Company limited by shares | Indian Non-Government company |
- (v) Whether company is having share capital Yes No
- (vi) *Whether shares listed on recognized Stock Exchange(s) Yes No

(b) CIN of the Registrar and Transfer Agent
Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted

Yes No

(f) Specify the reasons for not holding the same

The AGM will be held on September 27, 2022

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K5	Financial and Credit leasing activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	Olive Vine Investment Ltd		Holding	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL**(a) Equity share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	100,000,000	82,591,861	82,591,861	82,591,861
Total amount of equity shares (in Rupees)	1,000,000,000	825,918,610	825,918,610	825,918,610

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	100,000,000	82,591,861	82,591,861	82,591,861
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	1,000,000,000	825,918,610	825,918,610	825,918,610

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	0	82,591,861	82591861	825,918,610	825,918,610	

Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <input type="text"/>						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
At the end of the year	0	82,591,861	82591861	825,918,610	825,918,610	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <input type="text"/>						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0

ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text"/>
Date of registration of transfer (Date Month Year)	<input type="text"/>
Type of transfer	<input type="text"/> 1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
Number of Shares/ Debentures/ Units Transferred	<input type="text"/> Amount per Share/ Debenture/Unit (in Rs.) <input type="text"/>

Ledger Folio of Transferor				<input type="text"/>			
Transferor's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>			
Transferee's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	

Date of registration of transfer (Date Month Year)				<input type="text"/>			
Type of transfer		<input type="text"/>		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock			
Number of Shares/ Debentures/ Units Transferred		<input type="text"/>		Amount per Share/ Debenture/Unit (in Rs.)		<input type="text"/>	
Ledger Folio of Transferor				<input type="text"/>			
Transferor's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>			
Transferee's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	

(iv) * Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	11,650	1000000	11,650,000,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
Total			11,650,000,000

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	9,300,000,000	6,350,000,000	4,000,000,000	11,650,000,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

5,082,849,213

(ii) Net worth of the Company

10,096,641,878

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	

3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	66,073,488	80	0	
10.	Others	0	0	0	
	Total	66,073,488	80	0	0

Total number of shareholders (promoters)

1

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	

8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others International Finance Corp +	16,518,373	20	0	
	Total	16,518,373	20	0	0

Total number of shareholders (other than promoters)

6

**Total number of shareholders (Promoters+Public/
Other than promoters)**

7

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	1	1
Members (other than promoters)	6	6
Debenture holders	96	97

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	1	0	1	0	0
B. Non-Promoter	0	3	0	5	0	0
(i) Non-Independent	0	0	0	1	0	0
(ii) Independent	0	3	0	4	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0

(v) Others	0	0	0	0	0	0
Total	0	4	0	6	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Neeraj Swaroop	00061170	Director	0	
Vijayalakshmi Iyer	05242960	Director	0	
Savita Mahajan	06492679	Director	0	
Narendra Ostawal	06530414	Director	0	
Ravi Venkatraman	00307328	Director	0	
Amit Ginda	09494847	Managing Director	1	
Vineet Mahajan	AEOPM9455P	CFO	0	
Vikas Tarekar	AOOPT3340N	Company Secretar	0	02/08/2022

(ii) Particulars of change in director(s) and Key managerial personnel during the year

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Rahul Bhapkar	AKSPB2907R	CFO	01/06/2021	Cessation
Rakesh Dhanuka	AKVPD8139L	Company Secretar	06/08/2021	Cessation
Amit Ginda	09494847	Managing Director	02/03/2022	Appointment
Ravi Venkatraman	00307328	Director	05/07/2021	Appointment
Vineet Mahajan	AEOPM9455P	CFO	22/09/2021	Appointment
Vikas Tarekar	AOOPT3340N	Company Secretar	02/09/2021	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	06/09/2021	7	7	100

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	06/05/2021	4	4	100
2	05/08/2021	5	5	100
3	02/09/2021	5	5	100
4	22/09/2021	5	5	100
5	28/10/2021	5	4	80
6	01/02/2022	5	4	80

C. COMMITTEE MEETINGS

Number of meetings held

19

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	06/05/2021	3	3	100
2	Audit Committee	05/08/2021	3	3	100
3	Audit Committee	22/09/2021	3	3	100
4	Audit Committee	28/10/2021	3	3	100
5	Audit Committee	01/02/2022	3	3	100
6	Nomination Re	06/05/2021	3	3	100
7	Nomination Re	02/09/2021	3	3	100
8	Nomination Re	22/09/2021	3	3	100
9	Nomination Re	01/02/2022	3	2	66.67
10	Corporate Soc	06/05/2021	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	Neeraj Swaroop	6	5	83.33	13	11	84.62	
2	Vijayalakshmi	6	5	83.33	15	12	80	
3	Savita Mahajan	6	6	100	6	6	100	
4	Narendra Ostwal	6	6	100	18	18	100	
5	Ravi Venkataraman	5	5	100	9	9	100	
6	Amit Gaianda	0	0	0	6	6	100	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Amit Gaianda	Managing Director	2,852,634	0	0	0	2,852,634
	Total		2,852,634	0	0	0	2,852,634

Number of CEO, CFO and Company secretary whose remuneration details to be entered

5

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Amit Gaianda	CEO	31,854,412				31,854,412
2	Rahul Bhapkar	CFO	2,801,156				2,801,156
3	Rakesh Dhanuka	CS	1,009,744				1,009,744
4	Vineet Mahajan	CFO	7,184,327				7,184,327
5	Vikas Tarekar	CS	1,239,131				1,239,131
	Total		44,088,770	0	0	0	44,088,770

Number of other directors whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Neeraj Swaroop	Independent Dir +	0	2,000,000		610,000	2,610,000
2	Vijayalakshmi Iyer	Independent Dir +	0	1,000,000		690,000	1,690,000
3	Savita Mahajan	Independent Dir +	0	1,000,000		500,000	1,500,000
4	Ravi Venkatraman	Independent Dir +	0	0		610,000	610,000
	Total		0	4,000,000	0	2,410,000	6,410,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Sachin Manseta

Whether associate or fellow

Associate Fellow

Certificate of practice number

8540

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

09494847

To be digitally signed by

Company Secretary

Company secretary in practice

Membership number

8279

Certificate of practice number

8540

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

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Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

List of Debenture Holders as on March 31, 2022

Sr. No.	Name	Middle Name	Last Name	No. of Debentures	Total amount of Debentures
1	THE TRIBUNE EMPLOYEE'S PROVIDENT FUND TRUST	NA	NA	10.00	10000000
2	ATLAS CYCLE INDUSTRIES PROVIDENT FUND TRUST	NA	NA	2.00	2000000
3	BHOODEVI SHARMA	NA	NA	1.00	1000000
4	MURARILAL SHARMA	NA	NA	1.00	1000000
5	KUSUM SHARMA	NA	NA	1.00	1000000
6	A P S R T C EMPLOYEES PROVIDENT FUND TRUST	NA	NA	100.00	100000000
7	TSR DARASHAW LIMITED	NA	NA	1.00	1000000
8	GODREJ INDUSTRIES EMPLOYEES' PROVIDENT FUND	NA	NA	5.00	5000000
9	ALSTOM T&D INDIA LIMITED EMPLOYEES PROVIDENT FUND TRUST	NA	NA	51.00	51000000
10	NALCO EMPLOYEES PROVIDENT FUND TRUST	NA	NA	30.00	30000000
11	MACHINE TOOLS I PVT LTD STAFF PROVIDENT FUND	NA	NA	3.00	3000000
12	ESCORTS HEART INSTITUTE AND RESEARCH CENTRE EMPLOYEES PROVIDENT FUND TRUST	NA	NA	5.00	5000000
13	EIL EMPLOYEES PROVIDENT FUND	NA	NA	40.00	40000000
14	SOUNDARAM RAJENDRAN	NA	NA	2.00	2000000
15	POWER FINANCE CORPORATION LTD EMPLOYEES PROVIDENT FUND	NA	NA	11.00	11000000
16	R N KARANJAWALA HUF	NA	NA	1.00	1000000
17	A P S R T C EMPLOYEES PROVIDENT FUND TRUST	NA	NA	30.00	30000000
18	GARHWAL MANDAL VIKAS NIGAM LTD., EPF TRUST	NA	NA	4.00	4000000
19	MAHESH PARASURAMAN	NA	NA	1.00	1000000
20	UNIFI AIF	NA	NA	20.00	20000000
21	BREACH CANDY HOSPITAL TRUST STAFF PROVIDENT FUND	NA	NA	1.00	1000000
22	PUNJAB STATE WAREHOUSING CORPORATION EMPLOYEE PROVIDENT FUND	NA	NA	8.00	8000000
23	R N KARANJAWALA HUF	NA	NA	1.00	1000000
24	BHOODEVI SHARMA	NA	NA	1.00	1000000
25	THE MUNICIPAL CO-OP BANK EMPL PROV FUND	NA	NA	6.00	6000000
26	ACKRUTI SHARMA	NA	NA	1.00	1000000
27	TOSHKUMAR KRISHNAKANT SHARMA	NA	NA	1.00	1000000
28	TRUPTI M SHARMA	NA	NA	1.00	1000000
29	GAURAV KRISHNAKANT SHARMA	NA	NA	1.00	1000000
30	HVPLN EMPLOYEES PENSION FUND TRUST	NA	NA	109.00	109000000
31	APSRTC EMPLOYEES PROVIDENT FUND TRUST	NA	NA	100.00	100000000
32	ICICI PRUDENTIAL BALANCED ADVANTAGE FUND	NA	NA	1000.00	1000000000

Sr. No.	Name	Middle Name	Last Name	No. of Debentures	Total amount of Debentures
33	ICICI PRUDENTIAL EQUITY & DEBT FUND	NA	NA	500.00	500000000
34	ICICI PRUDENTIAL ULTRA SHORT TERM FUND	NA	NA	450.00	450000000
35	ICICI PRUDENTIAL ULTRA SHORT TERM FUND	NA	NA	700.00	700000000
36	KETAN J JAIN	NA	NA	1.00	1000000
37	KOGTA FINANCIAL INDIA LTD	NA	NA	303.00	303000000
38	JAYA AGARWAL	NA	NA	2.00	2000000
39	RAKESH KINGAR	NA	NA	2.00	2000000
40	RUCHI KHAJANCHI	NA	NA	1.00	1000000
41	KUMUD HARSHAD SHETH	NA	NA	2.00	2000000
42	DRUSHTI HARSHIL MEHTA	NA	NA	3.00	3000000
43	RISHIT JAYESH SHAH	NA	NA	1.00	1000000
44	GANESH SURYANARAYANAN	NA	NA	1.00	1000000
45	ARUSHI SHARMA	NA	NA	1.00	1000000
46	PODDAR TYRES LIMITED	NA	NA	5.00	5000000
47	NISHIT MONDAL	NA	NA	1.00	1000000
48	BOMI MINOO GAGRAT	NA	NA	1.00	1000000
49	DEVIKA ASHOK MAHADEVIA	NA	NA	1.00	1000000
50	RAVINDERNATH CHADHA	NA	NA	1.00	1000000
51	SUSHILA A MITTAL	NA	NA	1.00	1000000
52	LAKSHMI NARAYAN	NA	NA	1.00	1000000
53	INDIAN BANK	NA	NA	500.00	500000000
54	STATE BANK OF INDIA	NA	NA	500.00	500000000
55	AU SMALL FINANCE BANK LIMITED	NA	NA	500.00	500000000
56	ICICI PRUDENTIAL ASSET MANAGEMENT COMPANY LTD.	NA	NA	500.00	500000000
57	AU SMALL FINANCE BANK LIMITED	NA	NA	250.00	250000000
58	A. K CAPITAL FINANCE LIMITED	NA	NA	200.00	200000000
59	UTI - CREDIT RISK FUND	NA	NA	150.00	150000000
60	A K CAPITAL FINANCE LIMITED	NA	NA	18.00	18000000
61	A K CAPITAL FINANCE LIMITED	NA	NA	50.00	50000000
62	KOTAK MAHINDRA BANK LIMITED	NA	NA	250.00	250000000
63	A K CAPITAL SERVICES LIMITED	NA	NA	80.00	80000000
64	AJAY KUMAR SAXENA	NA	NA	1.00	1000000
65	ANIL KUMAR GUPTA	NA	NA	1.00	1000000
66	CSB BANK LTD	NA	NA	250.00	250000000
67	SBI MAGNUM MEDIUM DURATION FUND	NA	NA	1550.00	1550000000
68	SBI CREDIT RISK FUND	NA	NA	950.00	950000000
69	ICICI PRUDENTIAL REGULAR SAVINGS FUND	NA	NA	250.00	250000000
70	ICICI PRUDENTIAL BALANCED ADVANTAGE FUND	NA	NA	250.00	250000000
71	ICICI PRUDENTIAL CHILD CARE FUND (GIFT PLAN)	NA	NA	250.00	250000000
72	ICICI PRUDENTIAL CREDIT RISK FUND	NA	NA	750.00	750000000
73	HVPLN EMPLOYEES PENSION FUND TRUST	NA	NA	190.00	190000000
74	HVPLN EMPLOYEES PROVIDENT FUND TRUST	NA	NA	60.00	60000000

Sr. No.	Name	Middle Name	Last Name	No. of Debentures	Total amount of Debentures
75	HVPNL EMPLOYEES PENSION FUND TRUST	NA	NA	210.00	210000000
76	HVPNL EMPLOYEES PROVIDENT FUND TRUST	NA	NA	40.00	40000000
77	SOUNDARAM RAJENDRAN	NA	NA	2.00	2000000
78	A P S F C EMPLOYEES PROVIDENT FUND	NA	NA	2.00	2000000
79	KRISHNAN SIVARAMA KRISHNAN	NA	NA	1.00	1000000
80	TSR DARASHAW LIMITED	NA	NA	20.00	20000000
81	BOARD OF TRUSTEES M .S. R.T.C. CPF	NA	NA	100.00	100000000
82	TAJ RESIDENCY EPF TRUST	NA	NA	1.00	1000000
83	KHUSHI PANKAJ SANKLECHA	NA	NA	1.00	1000000
84	EIL EMPLOYEES PROVIDENT FUND	NA	NA	120.00	120000000
85	Mudit Pankaj Sanklecha	NA	NA	1.00	1000000
86	NATHMAL NEVATIA	NA	NA	1.00	1000000
87	SHEETAL SHELTERS PRIVATE LIMITED	NA	NA	11.00	11000000
88	ADITI JINDAL	NA	NA	1.00	1000000
89	ARNAB GHOSH	NA	NA	1.00	1000000
90	TAKESH DIVAKER MALGONKAR	NA	NA	1.00	1000000
91	ANAND PADMANABAN IYER	NA	NA	1.00	1000000
92	JAYANTHI ARJUNA	NA	NA	1.00	1000000
93	LALITHA DANDAPANI	NA	NA	1.00	1000000
94	YEGNESWARAN DANDAPANI	NA	NA	1.00	1000000
95	R R CHOKHANI STOCK BROKERS PRIVATE LIMITED	NA	NA	3.00	3000000
96	CASPIAN IMPACT INVESTMENTS PRIVATE LIMITED	NA	NA	100.00	100000000
97	ANIL KUMAR MISRA	NA	NA	3.00	3000000
	TOTAL				11,650,000,000

For Avanse Financial Services Limited

Vineet Mahajan

Chief Financial Officer

List of Shareholders as on 31.03.2022

Sr. No.	Name of Nominee Shareholder	DP ID & Client ID	No. of Shares held
1.	Olive Vine Investment Ltd	IN303173 20166098	6,60,73,483
2.	International Finance Corporation	16015600 00003348	1,65,18,373
3.	Mr. Amit Gaiinda	13012400 01319283	1
4.	Mr. Amit Yadav	IN302902 43647927	1
5.	Mr. Samir Kumar Mohanty	IN301549 37002786	1
6.	Mr. Rahul Dhanasing Bhapkar	IN302902 44352943	1
7.	Mr. Nagarajan Srinivasan	IN301151 21845322	1

For Avanse Financial Services Limited

Vineet Mahajan

Chief Financial Officer



Avanse Financial Services Ltd.
Registered & Corporate Office:
001 & 002 Fulcrum, A Wing,
Ground Floor, Sahar Road,
Next to Hyatt Regency,
Andheri (East), Mumbai – 400 099
Maharashtra

T: +91 22 6859 9999
F: +91 22 6859 9900
www.avanse.com

ASPIRE WITHOUT BOUNDARIES

SACHIN MANSETA & ASSOCIATES
Company Secretaries

FORM NO. MGT- 8

*[Pursuant to Section 92 (2) of the Companies Act, 2013 and Rule 11 (2) of Companies
(Management and Administration) Rules, 2014]*

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of **AVANSE FINANCIAL SERVICES LIMITED** (the Company) registered under the provisions of Companies Act, 1956 having its Registered office at 001 & 002 Fulcrum, A Wing, Ground Floor Sahar Road, Next to Hyatt Regency, Andheri (East) Mumbai 400099, as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on **31st March, 2022**. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the Company and its officers, we certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year, the Company has complied with provisions of the Act & Rules made there under in respect of:
 1. Its status under the Act; Public Limited Company, Limited by shares;
 2. Maintenance of Registers/records & making entries therein within the time prescribed therefore;
 3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, ~~Regional Director, Central Government, Tribunal, Court~~ or other authorities within the prescribed time;
 4. Calling, convening, and holding meetings of Board of Directors and its committees and the meetings of the members of the Company on due dates as stated in the Annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed.

SACHIN MANSETA & ASSOCIATES
Company Secretaries

5. The Company was not required to close the Register of Members/Security holders during the financial year;
6. No advances/loans were given to Directors and/ or persons or firms or Companies referred in section 185 of the Act;
7. All the contracts or arrangements or transactions entered into by the Company were on arm's length basis. The Company has not entered into any contract of material nature which falls within the provisions of Section 188 of the Companies Act 2013.
8. All the provisions for Issuance or allotment ~~or transfer or transmission or buy back~~ of securities/ redemption of ~~preference shares~~ debentures/ ~~conversion of shares/ securities~~ and issuance of security certificates were complied;
9. The Company has not kept in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act;
10. The Company has not declared dividend for financial year 2021-2022 and there was no unpaid/unclaimed dividend/other amounts that are required to transfer to the Investor Education and Protection Fund as per section 125 of the Act;
11. Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. Constitution/ appointment/ re-appointments/ ~~retirement/ filling up casual vacancies/~~ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them.
13. Appointment/ reappointment of auditors was done as per the provisions of section 139 of the Act;
14. No approvals were required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;

SACHIN MANSETA & ASSOCIATES
Company Secretaries

15. The Company has not accepted/ renewed/ repaid deposits during the Financial Year 2021-2022;
16. No amount is borrowed by the Company from its Directors and members during the period under review. However, the Company has borrowed funds from financial institutions, banks and others and has complied with creation/modification/satisfaction of charges in that respect, wherever applicable.
17. The Company, being a non-banking financial Company registered with the RBI and engaged in the business of giving loan, is exempt from the provisions of section 186 of the Companies Act, 2013 and rules made thereunder;
18. There were no alteration in the provisions of the Memorandum of Association of the Company however alteration made in the provisions of the Articles of Association of the Company;

UDIN: F008279D000919662
For Sachin Manseta & Associates,

Sachin Manseta
Place: Mumbai
Date: 05/09/2022