

Date: 22nd April, 2022

To,
BSE Limited
P.J. Towers,
Dalal Street, Mumbai – 400 001

Dear Sir/Madam,

Subject: Certificate by Practicing Company Secretary under Regulation 40(9) and 61(4) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“SEBI Regulations”)

As per the aforesaid applicable SEBI Regulations, please find enclosed the Certificate by Practicing Company Secretary for the financial year ended March 31, 2022.

This is for your record.

Thanking you.
For **Avanse Financial Services Limited**

Vikas Tarekar
Company Secretary



Avanse Financial Services Ltd.

Registered & Corporate Office:

001 & 002 Fulcrum, A Wing, Ground Floor,
Sahar Road, Next to Hyatt Regency,
Andheri (East), Mumbai - 400 099 Maharashtra.

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www.avanse.com

ASPIRE WITHOUT BOUNDARIES

SACHIN MANSETA & ASSOCIATES
Company Secretaries

To,
The Board of Directors,
AVANSE FINANCIAL SERVICES LIMITED
001 & 002 Fulcrum, A Wing,
Ground Floor Sahar Road,
Next to Hyatt Regency, Andheri (East),
Mumbai - 400099.

Dear Sir/Madam,

Subject : Certificate as per Regulation 40(9) of the Listing Regulations (LODR) 2015.

I have examined Memorandum of Transfers, Registers, files and other documents relating to AVANSE FINANCIAL SERVICES LIMITED ("the Company") maintained by Link Intime India Private Limited ("Share Transfer Agent") pertaining to transfer of debentures of the Company for the period from 1st April 2021 to 31st March 2022 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and Share Transfer Agent, I hereby certify the following for the period from 1st April 2021 to 31st March 2022:

The company has not received any request/ application for transfer in physical mode, subdivision, consolidation, renewal, issue of duplicate debenture certificate, exchange or endorsement of calls/allotment during the period from 1st April, 2021 to 31st March 2022 and was not required to make entries in the Memorandum of Transfers and issue any debenture certificates during the period.

For **SACHIN MANSETA & ASSOCIATES**

SACHIN MANSETA
Proprietor
Mem. No.-8279

UDIN : F008279D000187425

Date: 22.04.2022

Place : Mumbai.



06, Naman Plaza, S. V. Road, Kandivali (W) Mumbai-400067.
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